

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)

249331/98 D

Application Number

09/733,036

Applicant(s)

Kenji Yamauchi

Filing Date

December 11, 2000

Group Art Unit

Not Yet Assigned

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		9-145965	06/06/97	JAPAN				✓
		10-144998	05/29/98	JAPAN				✓
		4-230050	08/19/92	JAPAN				✓

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		Japanese Office Action dated May 6, 2001, with partial English translation.

EXAMINER		DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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249331/95

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FILING DATE
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115 U.S. PTO
 09/73 836
 12/11/00
 APPROPRIATE

FOREIGN PATENT DOCUMENTS

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Lee S H et al., "Quantitative Evaluation of Passive-Aligned Laser Diode-To-Fiber Coupling", 1998 Electronic Components and Technology Conference, pp. 1459-1463.

(no months)

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Yamauchi, K.

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U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*

Tanaka K et al., "High Optical Coupling Scheme In LD Modules with Silicon Platform Technology" pp. 107-111, January 1997.

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							YES	NO
Duplicate		9-145965	06/06/97	JAPAN				✓
Duplicate		10-144998	05/29/98	JAPAN				✓
ORL		11-238936	08/31/99	JAPAN				✓
ORL		10-256664	09/25/98	JAPAN				✓
ORL		9-148623	06/06/97	JAPAN				✓

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

ORL		Japanese Office Action dated November 28, 2000, with partial English translation.

EXAMINER

DATE CONSIDERED

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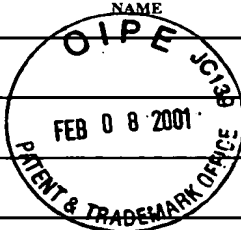
December 11, 2000

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FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		5-145177	06/11/93	JAPAN				✓

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		Tanaka et al., "High Optical Coupling Scheme in LD Modules with Silicon Platform Technology", IEICE TRANS. ELECTRON, VOL. E80-C, No. 1, January 1997, p. 107-111.

EXAMINER		DATE CONSIDERED
		1-02

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.